

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): K.S. Grant et al.  
Case: 1-2-27  
Serial No.: To Be Assigned  
Filing Date: October 20, 2003  
Group: To Be Assigned  
Examiner: To Be Assigned

Title: Traffic Management Using In-Band Flow Control  
and Multiple-Rate Traffic Shaping

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

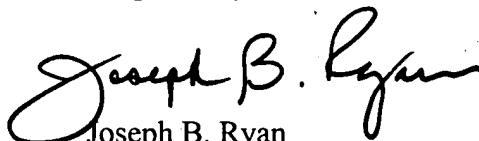
Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. Agere Systems, Product Brief, "Edge/Access and Multiservice Network Processors: APP550 and APP530," pp. 1-4, May 2003.
2. Implementation Agreement OIF-SPI3-01.0, "System Packet Interface Level 3 (SPI-3): OC-48 System Interface for Physical and Link Layer Devices," Optical Internetworking Forum, pp. 1-42, 2001

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph B. Ryan". The signature is fluid and cursive, with the first name "Joseph" and last name "Ryan" clearly distinguishable.

Date: October 20, 2003

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**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): K.S. Grant et al.  
Case: 1-2-27  
Serial No.: TBA  
Filing Date: October 20, 2003  
Group: TBA

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**U.S. PATENT DOCUMENTS**

EXAMINER					FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

EXAMINER					TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

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**OTHER DOCUMENTS**

EXAMINER			
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	

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- 1. Agere Systems, Product Brief, "Edge/Access and Multiservice Network Processors: APP550 and APP530," pp. 1-4, May 2003.
- 2. Implementation Agreement OIF-SPI3-01.0, "System Packet Interface Level 3 (SPI-3): OC-48 System Interface for Physical and Link Layer Devices," Optical Internetworking Forum, pp. 1-42, 2001.

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Examiner

Date Considered

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**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.